

04/16/99  
4557 U.S. PTOPlease type a plus sign (+) inside this box → Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 1650

First Inventor or Application Identifier BLANDING et al.

Title Method and System for Managing Lifecycles  
of Deployed Applications

Express Mail Label No. EE506162963US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification [Total Pages 38]  
(preferred arrangement set forth below)
 

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 10]

4. Oath or Declaration [Total Pages 3]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Statement (IDS)/PTO-1449  Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13.  Small Entity Statement(s)  Statement filed in prior application,  
(PTO/SB/09-12)  Status still proper and desired

14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15.  Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: .....

Prior application information: Examiner .....

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Albert S. Michalik, Reg. No. 37,395			
	The Law Offices of Albert S. Michalik			
Address	704 - 228th Avenue NE			
	Suite 193			
City	Redmond	State	Washington	Zip Code
Country	USA	Telephone	(425) 836-3030	Fax
Name (Print/Type)	Albert S. Michalik		Registration No. (Attorney/Agent)	37,395
Signature	<i>Albert S. Michalik</i>		Date	4/16/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC542 U.S. PTO  
09/29/326

04/16/99

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 1999

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

		Complete if Known			
TOTAL AMOUNT OF PAYMENT		Application Number Express mail: EE506162963US			
		Filing Date April 16, 1999			
		First Named Inventor BLANDING et al.			
		Examiner Name			
		Group / Art Unit			
		Attorney Docket No. 1650			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																					
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <b>500463</b></p> <p>Deposit Account Name</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee 105</th> <th>Fee 130</th> <th>Fee 205</th> <th>Fee 65</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Non-English specification</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Design issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>40</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td colspan="4">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="2">126</td> <td colspan="4">40</td> </tr> <tr> <td colspan="2">Reduced by Basic Filing Fee Paid</td> <td colspan="4"></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee 105	Fee 130	Fee 205	Fee 65	Fee Description	Fee Paid							Surcharge - late filing fee or oath								Surcharge - late provisional filing fee or cover sheet.								Non-English specification								For filing a request for reexamination								Requesting publication of SIR prior to Examiner action								Requesting publication of SIR after Examiner action								Extension for reply within first month								Extension for reply within second month								Extension for reply within third month								Extension for reply within fourth month								Extension for reply within fifth month								Notice of Appeal								Filing a brief in support of an appeal								Request for oral hearing								Petition to institute a public use proceeding								Petition to revive - unavoidable								Petition to revive - unintentional								Utility issue fee (or reissue)								Design issue fee								Plant issue fee								Petitions to the Commissioner								Petitions related to provisional applications								Submission of Information Disclosure Stmt								Recording each patent assignment per property (times number of properties)								40								Filing a submission after final rejection (37 CFR 1.129(a))								For each additional invention to be examined (37 CFR 1.129(b))								Other fee (specify) _____								Other fee (specify) _____		SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$)				126		40				Reduced by Basic Filing Fee Paid					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee 105	Fee 130	Fee 205	Fee 65	Fee Description	Fee Paid																																																																																																																																																																																																																																																																
						Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																	
						Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																																																	
						Non-English specification																																																																																																																																																																																																																																																																	
						For filing a request for reexamination																																																																																																																																																																																																																																																																	
						Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																	
						Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																	
						Extension for reply within first month																																																																																																																																																																																																																																																																	
						Extension for reply within second month																																																																																																																																																																																																																																																																	
						Extension for reply within third month																																																																																																																																																																																																																																																																	
						Extension for reply within fourth month																																																																																																																																																																																																																																																																	
						Extension for reply within fifth month																																																																																																																																																																																																																																																																	
						Notice of Appeal																																																																																																																																																																																																																																																																	
						Filing a brief in support of an appeal																																																																																																																																																																																																																																																																	
						Request for oral hearing																																																																																																																																																																																																																																																																	
						Petition to institute a public use proceeding																																																																																																																																																																																																																																																																	
						Petition to revive - unavoidable																																																																																																																																																																																																																																																																	
						Petition to revive - unintentional																																																																																																																																																																																																																																																																	
						Utility issue fee (or reissue)																																																																																																																																																																																																																																																																	
						Design issue fee																																																																																																																																																																																																																																																																	
						Plant issue fee																																																																																																																																																																																																																																																																	
						Petitions to the Commissioner																																																																																																																																																																																																																																																																	
						Petitions related to provisional applications																																																																																																																																																																																																																																																																	
						Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																	
						Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																	
						40																																																																																																																																																																																																																																																																	
						Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																	
						For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																	
						Other fee (specify) _____																																																																																																																																																																																																																																																																	
						Other fee (specify) _____																																																																																																																																																																																																																																																																	
SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$)																																																																																																																																																																																																																																																																					
126		40																																																																																																																																																																																																																																																																					
Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																																																							
SUBMITTED BY		Complete (if applicable)																																																																																																																																																																																																																																																																					
Typed or Printed Name	Albert S. Michalik			Reg. Number	37,395																																																																																																																																																																																																																																																																		
Signature	<i>Albert S. Michalik</i>		Date	4/16/99	Deposit Account User ID																																																																																																																																																																																																																																																																		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.